

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Dirk M. Anderson and Laurence J. Galibert

Docket No.: 2852-C

Serial No.: 09/688,459

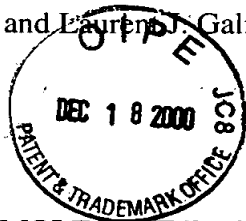
Group Art Unit: 1646

Filing Date: October 13, 2000

Examiner: Unknown

For:

ISOLATED DNA MOLECULE ENCODING RANK LIGAND  
(as amended)



**STATEMENT UNDER 37 C.F.R. 3.73(b) AND**  
**POWER OF ATTORNEY BY ASSIGNEE**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

IMMUNEX CORPORATION (hereinafter "IMMUNEX"), a corporation of the State of Washington having a place of business at 51 University Street, Seattle, Washington 98101, is the assignee of the entire right, title, and interest in the above-identified U.S. patent application. Documentary evidence of a chain of title from the original owner(s) to Immunex is demonstrated by:

- ☐ the enclosed copy of an Assignment, the original of which is being filed for recordation by the United States Patent and Trademark Office.

OR

- ☒ the assignment recorded in the United States Patent and Trademark Office at Reel 010732, Frame 0297.

The undersigned, whose title is supplied below, is empowered to sign this statement on behalf of IMMUNEX.

IMMUNEX hereby appoints the registered practitioners at the following Customer Number to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:



**22932**

Immunex Corporation


Docket No. 2852-C

Statement Under 37 C.F.R. 3.73(b) and Power of Attorney by Assignee

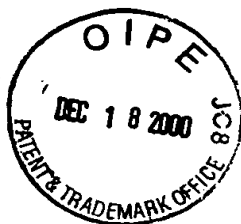
Please send all correspondence and direct telephone calls to the above-identified Customer Number.

Respectfully submitted,

Date December 13, 2000

By   
Michael K. Kirschner  
Vice President, Intellectual Property

Enclosure: Copy of Assignment



#3

Immunex Corporation

Docket No.: 2852-C

**DECLARATION OF INVENTOR(S)**

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe that I am the original, first, and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ISOLATED DNA MOLECULE ENCODING RANK LIGAND**

the specification of which was filed October 13, 2000, and which has been assigned U.S. Serial No. 09/688,459.

I hereby state that I have reviewed and understand the contents of said specification including the Preliminary Amendment that was filed October 13, 2000. I acknowledge the duty to disclose information that is known to me and material to patentability of the subject claimed invention in accordance with 37 C.F.R. §1.56.

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(X) I hereby claim the benefit under 35 U.S.C. §120 of the United States application(s) and PCT international application(s) designating the United States that are listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) or PCT international application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Application No.  
08/995,659

Filed  
December 22, 1997

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(X) I hereby claim the benefit under 35 U.S.C. §119(e) of the United States provisional application(s) listed below:

Application No.  
60/064,671  
60/059,978


Filed  
March 7, 1997 (converted to provisional from 08/813,509)  
December 23, 1996 (converted to provisional from 08/772,330)

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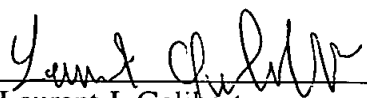
I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

Immunex Corporation  
Declaration of Inventors  
Docket No. (2852-C)

United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature   
Inventor Dirk M. Anderson  
Post Office 51 University Street  
Address Seattle, Washington 98101  
U.S.A.  
Residence Seattle, Washington  
Citizenship United States

Date 12-5-00

Signature   
Inventor Laurent J. Galibert  
Post Office 51 University Street  
Address Seattle, Washington 98101  
U.S.A.  
Residence Seattle, Washington  
Citizenship France

Date 12/6/00

2852 A Q



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 27, 2000

IMMUNEX CORPORATION  
DIANA K. SHEINESS  
LAW DEPARTMENT  
51 UNIVERSITY STREET  
SEATTLE, WA 98101



\*101339908A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/27/2000

REEL/FRAME: 010732/0297  
NUMBER OF PAGES: 4

BRIEF: DOCUMENT PREVIOUSLY RECORDED AT REEL 010386, FRAME 0220  
CONTAINED AN ERROR IN PROPERTY NUMBER 08/995,669. DOCUMENT  
RE-RECORDED TO CORRECT ERROR ON STATED REEL.

ASSIGNOR:  
ANDERSON, DIRK M.

DOC DATE: 11/03/1999

ASSIGNOR:  
GALIBERT, LAURENT J.

DOC DATE: 11/04/1999

ASSIGNEE:  
IMMUNEX CORPORATION  
51 UNIVERSITY STREET  
LAW DEPARTMENT  
SEATTLE, WASHINGTON 98101

SERIAL NUMBER: 08995659  
PATENT NUMBER:

FILING DATE: 12/22/1997  
ISSUE DATE:

010732/0297 PAGE 2

DIANE RUSSELE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

03/27/00

FORM PTO-1595  
(Rev. 6-93)

REC

05-01-2000

U.S. DEPARTMENT OF COMMERCE  
Patent & Trademark Office



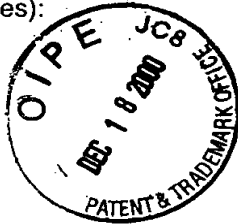
101339908

To the Honorable Commissioner of Patents

Please record the attached original document or copy thereof.

1. Name(s) of conveying party(ies):

- 1) DIRK M. ANDERSON
- 2) LAURENT J. GALIBERT
- 3)
- 4)
- 5)
- 6)



Additional names of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☒ Other Assignment previously recorded on Reel 010386/Frame 0220 contained error - incorrect Serial No.

Execution Dates:

- 1) NOVEMBER 3, 1999 2) NOVEMBER 4, 1999

2. Name and address of receiving party:

Name: IMMUNEX CORPORATION

Internal Address: LAW DEPARTMENT

Street Address: 51 UNIVERSITY STREET

City: SEATTLE State: WA

Zip: 98101

Additional names & addresses attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,  
the execution date of the application is \_\_\_\_\_.

A. Patent Application No(s).

08/995,659

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: IMMUNEX CORPORATION

Internal Address: DIANA K. SHEINESS

LAW DEPARTMENT

Street Address: 51 UNIVERSITY STREET

City: SEATTLE State: WA ZIP: 98101

6. Total number of applications and patents involved..... 1

7. Total Fee (37 CFR 3.41): ..... \$40

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0089

04/28/2000 TT0111 00000124 090089 08995659

01 FC:581

(40.00 CH)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DIANA K. SHEINESS

Name of Person Signing

Diana K. Sheiness

Signature

MARCH 22, 2000

Date

Total number of pages including cover sheet, attachments, and document: 6

REC

11-22-1999

o.: 2852-A

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

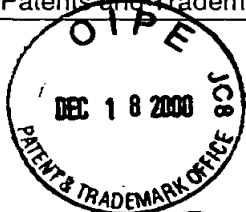


101205124

Tab settings → → → ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Dirk M. Anderson  
Laurent J. Galibert



2. Name and address of receiving party(ies):

Name: IMMUNEX CORPORATION

Internal Address: Law Department

Street Address: 51 University Street

City: Seattle, State: WA ZIP: 98101

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: November 3, 1999, November 4, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/995,669

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Diana K. Sheiness

Internal Address: Immunex Corporation  
Law Department

Street Address: 51 University Street

City: Seattle, State: WA ZIP: 98101

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0089

1/19/1999 DMSUYEN 00000142 090089 08995669

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Diana K. Sheiness

Diana K. Sheiness

11/4/99

Name of Person Signing

Signature

Date


Total number of pages including cover sheet, attachments, and document: 3

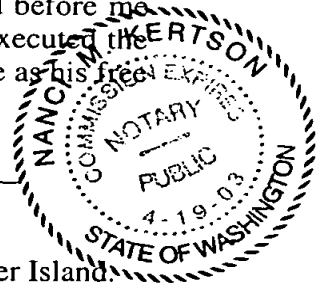
WHEREAS, We, Dirk M. Anderson, residing at 3616 NW 64<sup>th</sup> Street, Seattle, Washington 98107, and Laurent J. Galibert, residing at 6832 47<sup>th</sup> Avenue NE, Seattle, Washington 98115, are the inventors named in an application for Letters Patent of the United States entitled LIGAND FOR RECEPTOR ACTIVATOR OF NF- $\kappa$ B, said application filed December 22, 1997, and assigned U.S. Serial No. 08/995,659, which is a continuation-in-part of U.S. Provisional Serial No. 60/064,671 filed October 14, 1997, and a continuation-in-part U.S. Serial No. 08/813,509, filed March 7, 1997, and later converted to U.S. Provisional Serial No. 60/077,181, and a continuation-in-part of U.S. Serial No. 08/772,330, filed December 23, 1996 and later converted to U.S. Provisional Serial No. 60/059,978;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto said ASSIGNEE my entire right and title to and interest in said applications and said invention(s) and all U.S. and foreign applications and patents on said invention(s) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by me had this sale, assignment, and transfer not been made, and I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention(s), to enforce any and all patents on said invention(s), and to confirm in ASSIGNEE legal title to said invention(s) and all applications and patents on said invention(s), all without charge to ASSIGNEE but at no expense to me.

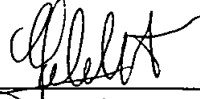
  
\_\_\_\_\_  
Dirk M. Anderson

On this 3rd day of November, 1999, personally appeared before me Dirk M. Anderson, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.

  
Nanci M. Kertson  
Notary Public in and for the  
State of Washington, residing at Mercer Island.  
My appointment expires 4-19-03



Executed at Seattle, Washington, this 4 day of November, 1999.



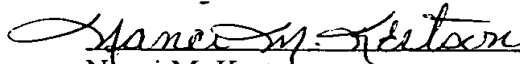
Laurent J. Galibert

STATE OF WASHINGTON )

) ss.

COUNTY OF KING )

On this 4 day of ~~September~~, 1999, personally appeared before me Laurent J. Galibert, to me known to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.



Nanci M. Kertson

Notary Public in and for the

State of Washington, residing at Mercer Island.

My appointment expires 4-19-03

